

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Avinash C. Saxena
Serial No: 09/731,365
Date Filed: December 6, 2000
Examiner: Samuel G. Rimell
Group Art Unit: 2175
Title: METHOD AND SYSTEM FOR
ADAPTIVE PREFETCHING

Honorable
Assistant Commissioner for Patents
Washington, D.C. 20231-9999

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Name:	<u>Willie Jiles</u>
	Willie Jiles
Date:	<u>January 8, 2003</u>

EV193175971US

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. Copies of the references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. §§ 1.98(g) and (h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the above-cited application.

I hereby certify that the items contained in this Information Disclosure Statement were first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement, therefore, Applicant does not believe any fee is due. However, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

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PTO-1449

Information Disclosure Citation
in an ApplicationApplication No.
09/731,365Applicant(s)
SAXENA, Avinash C.Docket Number
066241.0109Group Art Unit
2175Filing Date
December 6, 2000

U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	6,085,193	07/04/2000	Malkin, et al.	707	10	09/29/1997
	B						
	C						
	D						
	E						
	F						
	G						
	H						
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	K						
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	R	WO 98/53410	11/26/1998	PCT	G06F	17/30		
	S							
	T							

NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	U	PCT International Search Report mailed December 11, 2002 re PCT/US 01/48103 filed December 6, 2001 by Epicrealm Operating Inc. (7 pages)	12/11/2002
	V		

EXAMINER

DATE CONSIDERED


EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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Name of Inventor(s): <u>SAXENA, A. C.</u>		Receipt Date & Serial No: 
Title of Invention: <u>M/S for Adaptive Prefetching</u>		
Client or Applicant: <u>EpicRealm Inc.</u>	BB File No.: <u>066241.0109</u>	
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